## **XFORTRESS**

Investors Complaints Data in Compliance with SEBI Circular SEBI/HO/CFD/DCR2/P/CIR/2021/0661 DATED NOVEMBER 23, 2021

#### A. Category Wise Investor Complaint Data

#### 1. Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board

Data for month ending February 2022 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month         | Carried forward<br>from previous<br>month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|---------------|---|--|---|--|
| 1. | October,2021  | Nil                                       | Nil  | Nil   | Nil  |
| 2. | November,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 3. | December,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 4. | January,2022  | Nil                                       | Nil  | Nil   | Nil  |
| 5. | February,2022 | Nil                                       | Nil  | Nil   | Nil  |
|    | Grand Total   | Nil                                       | Nil  | Nil   | Nil  |

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN   | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|------|-----------|--|-------------------------------------|-------------------------------------|---|
| 1.   | 2019      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 2.   | 2020      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 3.   | 2021      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 4. * | 2022      | a  | a                                   | @                                   | @   |
| 5.   | 2023      | @  | @                                   | a)                                  | (a)                                       |
| Gr   | and Total | -  | -                                   | -                                   | -   |

@The relevant period has not been completed



## Fortress Capital Management Services Pvt. Ltd. CIN : U67120MH2004PTC145815

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#### 2. Rights Issue:

Data for month ending February 2022 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month         | Carried forward<br>from previous<br>month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|---------------|---|--|---|--|
| 1. | October,2021  | Nil                                       | Nil  | Nil   | Nil  |
| 2. | November,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 3. | December,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 4. | January,2022  | Nil                                       | Nil  | Nil   | Nil  |
| 5. | February,2022 | Nil                                       | Nil  | Nil   | Nil  |
|    | Grand Total   | Nil                                       | Nil  | Nil   | Nil  |

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|----|-----------|--|-------------------------------------|-------------------------------------|---|
| 1. | 2019      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 2. | 2020      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 3. | 2021      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 4. | 2022      | @  | a                                   | @                                   | @   |
| 5. | 2023      | @  | a                                   | @                                   | @   |
| Gr | and Total | -  | -                                   | -                                   | -   |

@The relevant period has not been completed



## Fortress Capital Management Services Pvt. Ltd. CIN : U67120MH2004PTC145815

Corporate office : 204, Lotus Park, 2nd Floor, Road No 16, Wagle Estate, Thane (W) 400 604, India. Tel : +91 (22) 6288 7900

Registered office : Daryanagar House, 2nd Floor, 69 Maharshi Karve Road, Marine Lines, Mumbai 400 002, India. Tel : +91 (22) 2200 7973



#### 3. Qualified Institutional Placements (QIP):

#### Data for month ending February 2022 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month         | Carried forward<br>from previous<br>month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|---------------|---|--|---|--|
| 1. | October,2021  | Nil                                       | Nil  | Nil   | Nil  |
| 2. | November,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 3. | December,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 4. | January,2022  | Nil                                       | Nil  | Nil   | Nil  |
| 5. | February,2022 | Nil                                       | Nil  | Nil   | Nil  |
|    | Grand Total   | Nil                                       | Nil  | Nil   | Nil  |

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during the particular year | Pending at the end of<br>the particular year |
|----|-----------|--|-------------------------------------|-------------------------------------|--|
| 1. | 2019      | Nil                                      | Nil                                 | Nil                                 | Nil  |
| 2. | 2020      | Nil                                      | Nil                                 | Nil                                 | Nil  |
| 3. | 2021      | Nil                                      | Nil                                 | Nil                                 | Nil  |
| 4. | 2022      | @  | (a)                                 | @                                   | @  |
| 5. | 2023      | @  | (a)                                 | @                                   | @  |
| Gr | and Total | -  | -                                   | -                                   | -  |

@The relevant period has not been completed



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Registered office : Daryanagar House, 2nd Floor, 69 Maharshi Karve Road, Marine Lines, Mumbai 400 002, India. Tel : +91 (22) 2200 7973

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#### 4. Preferential Issue:

#### Data for month ending February 2022 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month         | Carried forward<br>from previous<br>month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|---------------|---|--|---|--|
| 1. | October,2021  | Nil                                       | Nil  | Nil   | Nil  |
| 2. | November,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 3. | December,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 4. | January,2022  | Nil                                       | Nil  | Nil   | Nil  |
| 5. | February,2022 | Nil                                       | Nil  | Nil   | Nil  |
|    | Grand Total   | Nil                                       | Nil  | Nil   | Nil  |

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|----|-----------|--|-------------------------------------|--|--|
| 1. | 2019      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 2. | 2020      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 3. | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 4. | 2022      | a  | a                                   | @                                      | (a)  |
| 5. | 2023      | @  | (a)                                 | @                                      | (a)  |
| Gr | and Total | -  | -                                   | -                                      | -  |

(a) The relevant period has not been completed



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#### 5. Initial Public Offer/ Follow on Public Offer including Offer For Sale: SME

Data for month ending February 2022 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month         | Carried forward<br>from previous<br>month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|---------------|---|--|---|--|
| 1. | October,2021  | Nil                                       | Nil  | Nil   | Nil  |
| 2. | November,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 3. | December,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 4. | January,2022  | Nil                                       | Nil  | Nil   | Nil  |
| 5. | February,2022 | Nil                                       | Nil  | Nil   | Nil  |
|    | Grand Total   | Nil                                       | Nil  | Nil   | Nil  |

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|----|-----------|--|-------------------------------------|--|--|
| 1. | 2019      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 2. | 2020      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 3. | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 4. | 2022      | a)                                       | a                                   | @                                      | @  |
| 5. | 2023      | (a)                                      | a                                   | <u>a</u>                               | @  |
| Gr | and Total | -  | _                                   | -                                      | -  |

@The relevant period has not been completed



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Registered office : Daryanagar House, 2nd Floor, 69 Maharshi Karve Road, Marine Lines, Mumbai 400 002, India. Tel : +91 (22) 2200 7973



#### 6. Buyback of Securities:

Data for month ending February 2022 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | <ul> <li>Not Applicable</li> </ul>           |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month         | Carried forward<br>from previous<br>month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|---------------|---|--|---|--|
| 1. | October,2021  | Nil                                       | Nil  | Nil   | Nil  |
| 2. | November,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 3. | December,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 4. | January,2022  | Nil                                       | Nil  | Nil   | Nil  |
| 5. | February,2022 | Nil                                       | Nil  | Nil   | Nil  |
|    | Grand Total   | Nil                                       | Nil  | Nil   | Nil  |

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN | Year      | Carried<br>forward from | Received during the particular year | Resolved during<br>the particular year | Pending at the end of the particular year |
|----|-----------|-------------------------|-------------------------------------|--|---|
|    |           | previous year           |                                     |  |   |
| 1. | 2019      | Nil                     | Nil                                 | Nil                                    | Nil                                       |
| 2. | 2020      | Nil                     | Nil                                 | Nil                                    | Nil                                       |
| 3. | 2021      | Nil                     | Nil                                 | Nil                                    | Nil                                       |
| 4. | 2022      | @                       | a                                   | @                                      | <u>a</u>                                  |
| 5. | 2023      | @                       | a                                   | @                                      | @   |
| Gr | and Total | -                       | -                                   | -                                      | -   |

(a) The relevant period has not been completed



### Fortress Capital Management Services Pvt. Ltd. CIN : U67120MH2004PTC145815



#### 7. Delisting:

Data for month ending February 2022 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month         | Carried forward<br>from previous<br>month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|---------------|---|--|---|--|
| 1. | October,2021  | Nil                                       | Nil  | Nil   | Nil  |
| 2. | November,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 3. | December,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 4. | January,2022  | Nil                                       | Nil  | Nil   | Nil  |
| 5. | February,2022 | Nil                                       | Nil  | Nil   | Nil  |
|    | Grand Total   | Nil                                       | Nil  | Nil   | Nil  |

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of the particular year |
|----|-----------|--|-------------------------------------|--|---|
| 1. | 2019      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 2. | 2020      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 3. | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 4. | 2022      | @  | (a)                                 | a (a)                                  | @   |
| 5. | 2023      | a  | (a)                                 | @                                      | @   |
| Gr | and Total | -  | -                                   | -                                      | -   |

@The relevant period has not been completed



## Fortress Capital Management Services Pvt. Ltd. CIN : U67120MH2004PTC145815





#### 8. Substantial Acquisition of Shares and Takeovers:

#### Data for month ending February 2022 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month         | Carried forward<br>from previous<br>month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|---------------|---|--|---|--|
| 1. | October,2021  | Nil                                       | Nil  | Nil   | Nil  |
| 2. | November,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 3. | December,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 4. | January,2022  | Nil                                       | Nil  | Nil   | Nil  |
| 5. | February,2022 | Nil                                       | Nil  | Nil   | Nil  |
|    | Grand Total   | Nil                                       | Nil  | Nil   | Nil  |

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of the particular year |
|----|-----------|--|-------------------------------------|--|---|
| 1. | 2019      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 2. | 2020      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 3. | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 4. | 2022      | a  | a                                   | @                                      | @   |
| 5. | 2023      | @  | a                                   | @                                      | @   |
| Gr | and Total | -  | _                                   | -                                      | -   |

(a) The relevant period has not been completed



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#### 9. Private Placement of Non-Convertible Securities

#### Data for month ending February 2022 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month         | Carried forward<br>from previous<br>month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|---------------|---|--|---|--|
| 1. | October,2021  | Nil                                       | Nil  | Nil   | Nil  |
| 2. | November,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 3. | December,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 4. | January,2022  | Nil                                       | Nil  | Nil   | Nil  |
| 5. | February,2022 | Nil                                       | Nil  | Nil   | Nil  |
|    | Grand Total   | Nil                                       | Nil  | Nil   | Nil  |

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|----|-----------|--|-------------------------------------|-------------------------------------|---|
| 1. | 2019      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 2. | 2020      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 3. | 2021      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 4. | 2022      | a  | @                                   | @                                   | @   |
| 5. | 2023      | a  | @                                   | @                                   | @   |
| Gr | and Total | -  | -                                   | _                                   | -   |

@The relevant period has not been completed



## Fortress Capital Management Services Pvt. Ltd. CIN : U67120MH2004PTC145815

Corporate office : 204, Lotus Park, 2nd Floor, Road No 16, Wagle Estate, Thane (W) 400 604, India. Tel : +91 (22) 6288 7900

Registered office : Daryanagar House, 2nd Floor, 69 Maharshi Karve Road, Marine Lines, Mumbai 400 002, India. Tel : +91 (22) 2200 7973

# FORTRESS

#### 10. Public Issue of Non-Convertible Redeemable Preference Shares (NCRPS)

#### Data for month ending February 2022 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month         | Carried forward<br>from previous<br>month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|---------------|---|--|---|--|
| 1. | October,2021  | Nil                                       | Nil  | Nil   | Nil  |
| 2. | November,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 3. | December,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 4. | January,2022  | Nil                                       | Nil  | Nil   | Nil  |
| 5. | February,2022 | Nil                                       | Nil  | Nil   | Nil  |
|    | Grand Total   | Nil                                       | Nil  | Nil   | Nil  |

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of the particular year |
|----|-----------|--|-------------------------------------|--|---|
| 1. | 2019      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 2. | 2020      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 3. | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 4. | 2022      | @  | a                                   | (a)                                    | @   |
| 5. | 2023      | @  | a                                   | a)                                     | @   |
| Gr | and Total | -  | -                                   | -                                      | _   |

@The relevant period has not been completed



### Fortress Capital Management Services Pvt. Ltd. CIN : U67120MH2004PTC145815

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#### 11. Public Issue of Debt Securities

Data for month ending February 2022 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month         | Carried forward<br>from previous<br>month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|---------------|---|--|---|--|
| 1. | October,2021  | Nil                                       | Nil  | Nil   | Nil  |
| 2. | November,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 3. | December,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 4. | January,2022  | Nil                                       | Nil  | Nil   | Nil  |
| 5. | February,2022 | Nil                                       | Nil  | Nil   | Nil  |
|    | Grand Total   | Nil                                       | Nil  | Nil   | Nil  |

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|----|-----------|--|-------------------------------------|-------------------------------------|---|
| 1. | 2019      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 2. | 2020      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 3. | 2021      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 4. | 2022      | @  | @                                   | @                                   | @   |
| 5. | 2023      | @  | @                                   | @                                   | @   |
| Gr | and Total | -  | -                                   | -                                   | -   |

@The relevant period has not been completed



## Fortress Capital Management Services Pvt. Ltd. CIN : U67120MH2004PTC145815

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#### B. Consolidated Investor Complaint Data

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Nil  |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Nil  |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Nil  |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Nil  |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Nil  |

#### Data for month ending February 2022 is as follows:

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month         | Carried forward<br>from previous<br>month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|---------------|---|--|---|--|
| 1. | October,2021  | Nil                                       | Nil  | Nil   | Nil  |
| 2. | November,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 3. | December,2021 | Nil                                       | Nil  | Nil   | Nil  |
| 4. | January,2022  | Nil                                       | Nil  | Nil   | Nil  |
| 5. | February,2022 | Nil                                       | Nil  | Nil   | Nil  |
|    | Grand Total   | Nil                                       | Nil  | Nil   | Nil  |

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN   | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of the particular year |
|------|-----------|--|-------------------------------------|--|---|
| 1.   | 2019      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 2.   | 2020      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 3.   | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil                                       |
| 4.   | 2022      | a  | a                                   | @                                      | @   |
| 5. * | 2023      | a  | @                                   | @                                      | @   |
| Gra  | ind Total | -  | -                                   | -                                      | -   |

@The relevant period has not been completed



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