

Investors Complaints Data in Compliance with SEBI Circular SEBI/HO/CFD/DCR2/P/CIR/2021/0661 DATED NOVEMBER 23, 2021

## A. Category Wise Investor Complaint Data

1. Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board

Data for month ending September 2022 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	May,2022	Nil	Nil	Nil	Nil
2.	June,2022	Nil	Nil	Nil	Nil
3.	July, 2022	Nil	Nil	Nil	Nil
4.	August, 2022	Nil	Nil	Nil	Nil
5.	September,2022	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

## Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
		previous year	1 ,		
1.	2019	Nil	Nil	Nil	Nil
2.	2020	Nil	Nil	Nil	Nil
3.	2021	Nil	Nil	Nil	Nil
4.	2022	@	@	@	@
5.	2023	@	@	@	@
Gra	and Total	-	-	-	-

@The relevant period has not been completed



Fortress Capital Management Services Pvt. Ltd. CIN: U67120MH2004PTC145815

Corporate office: 204, Lotus Park, 2nd Floor, Road No 16, Wagle Estate, Thane (W) 400 604, India. Tel: +91 (22) 6288 7900



## 2. Rights Issue:

## Data for month ending September 2022 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	May,2022	Nil	Nil	Nil	Nil
2.	June,2022	Nil	Nil	Nil	Nil
3.	July, 2022	Nil	Nil	Nil	Nil
4.	August, 2022	Nil	Nil	Nil	Nil
5.	September,2022	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

 $<sup>* \ \</sup>textit{Inclusive of complaints of previous months resolved in the current month}.$ 

#Inclusive of complaints pending as on the last day of the month.

## Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
		previous year			
1.	2019	Nil	Nil	Nil	Nil
2.	2020	Nil	Nil	Nil	Nil
3.	2021	Nil	Nil	Nil	Nil
4.	2022	@	@	@	@
5.	2023	@	@	@	@
Gr	and Total	-	-	-	-

@The relevant period has not been completed



Fortress Capital Management Services Pvt. Ltd. CIN: U67120MH2004PTC145815

Corporate office: 204, Lotus Park, 2nd Floor, Road No 16, Wagle Estate, Thane (W) 400 604, India. Tel: +91 (22) 6288 7900



## 3. Qualified Institutional Placements (QIP):

## Data for month ending September 2022 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	May,2022	Nil	Nil	Nil	Nil
2.	June,2022	Nil	Nil	Nil	Nil
3.	July, 2022	Nil	Nil	Nil	Nil
4.	August, 2022	Nil	Nil	Nil	Nil
5.	September,2022	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

 $<sup>{\</sup>it * Inclusive of complaints of previous months resolved in the current month.}$ 

#Inclusive of complaints pending as on the last day of the month.

# Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2019	Nil	Nil	Nil	Nil
2.	2020	Nil	Nil	Nil	Nil
3.	2021	Nil	Nil	Nil	Nil
4.	2022	@	@	@	@
5.	2023	@	@	@	@
Gr	and Total	-	-	-	-

@The relevant period has not been completed



Fortress Capital Management Services Pvt. Ltd. CIN: U67120MH2004PTC145815

Corporate office: 204, Lotus Park, 2nd Floor, Road No 16, Wagle Estate, Thane (W) 400 604, India. Tel: +91 (22) 6288 7900



#### 4. Preferential Issue:

Data for month ending September 2022 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	May,2022	Nil	Nil	Nil	Nil
2.	June,2022	Nil	Nil	Nil	Nil
3.	July, 2022	Nil	Nil	Nil	Nil
4.	August, 2022	Nil	Nil	Nil	Nil
5.	September,2022	Nil	Nil	Nil	Nil
Grand Total		Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

# Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2019	Nil	Nil	Nil	Nil
2.	2020	Nil	Nil	Nil	Nil
3.	2021	Nil	Nil	Nil	Nil
4.	2022	@	@	@	@
5.	2023	@	@	@	@
Gr	and Total	-	-	-	-

 $@\mathit{The\ relevant\ period\ has\ not\ been\ completed}\\$ 



Fortress Capital Management Services Pvt. Ltd. CIN: U67120MH2004PTC145815

Corporate office: 204, Lotus Park, 2nd Floor, Road No 16, Wagle Estate, Thane (W) 400 604, India. Tel: +91 (22) 6288 7900



### 5. Initial Public Offer/ Follow on Public Offer including Offer For Sale: SME

Data for month ending September 2022 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	May,2022	Nil	Nil	Nil	Nil
2.	June,2022	Nil	Nil	Nil	Nil
3.	July, 2022	Nil	Nil	Nil	Nil
4.	August, 2022	Nil	Nil	Nil	Nil
5.	September,2022	Nil	Nil	Nil	Nil
Grand Total		Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2019	Nil	Nil	Nil	Nil
2.	2020	Nil	Nil	Nil	Nil
3.	2021	Nil	Nil	Nil	Nil
4.	2022	@	@	@	@
5.	2023	@	@	@	@
Gra	and Total	-	-	-	-

@The relevant period has not been completed



Fortress Capital Management Services Pvt. Ltd. CIN: U67120MH2004PTC145815

Corporate office: 204, Lotus Park, 2nd Floor, Road No 16, Wagle Estate, Thane (W) 400 604, India. Tel: +91 (22) 6288 7900



## 6. Buyback of Securities:

Data for month ending September 2022 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	May,2022	Nil	Nil	Nil	Nil
2.	June,2022	Nil	Nil	Nil	Nil
3.	July, 2022	Nil	Nil	Nil	Nil
4.	August, 2022	Nil	Nil	Nil	Nil
5.	September,2022	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

# Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2019	Nil	Nil	Nil	Nil
2.	2020	Nil	Nil	Nil	Nil
3.	2021	Nil	Nil	Nil	Nil
4.	2022	@	@	@	@
5.	2023	@	@	@	@
Gr	and Total	-	-	-	-

<sup>(</sup>a)The relevant period has not been completed



Fortress Capital Management Services Pvt. Ltd. CIN: U67120MH2004PTC145815

Corporate office: 204, Lotus Park, 2nd Floor, Road No 16, Wagle Estate, Thane (W) 400 604, India. Tel: +91 (22) 6288 7900



### 7. Delisting:

Data for month ending September 2022 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	May,2022	Nil	Nil	Nil	Nil
2.	June,2022	Nil	Nil	Nil	Nil
3.	July, 2022	Nil	Nil	Nil	Nil
4.	August, 2022	Nil	Nil	Nil	Nil
5.	September,2022	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

# Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2019	Nil	Nil	Nil	Nil
2.	2020	Nil	Nil	Nil	Nil
3.	2021	Nil	Nil	Nil	Nil
4.	2022	@	@	<i>@</i>	@
5.	2023	@	@	@	@
Gr	and Total	-	-	-	-

@The relevant period has not been completed



Fortress Capital Management Services Pvt. Ltd. CIN: U67120MH2004PTC145815

Corporate office: 204, Lotus Park, 2nd Floor, Road No 16, Wagle Estate, Thane (W) 400 604, India. Tel: +91 (22) 6288 7900



### 8. Substantial Acquisition of Shares and Takeovers:

Data for month ending September 2022 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	May,2022	Nil	Nil	Nil	Nil
2.	June,2022	Nil	Nil	Nil	Nil
3.	July, 2022	Nil	Nil	Nil	Nil
4.	August, 2022	Nil	Nil	Nil	Nil
5.	September,2022	Nil	Nil	Nil	Nil
Grand Total		Nil	Nil	Nil	Nil

st Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

# Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
		previous year			
1.	2019	Nil	Nil	Nil	Nil
2.	2020	Nil	Nil	Nil	Nil
3.	2021	Nil	Nil	Nil	Nil
4.	2022	@	@	@	@
5.	2023	@	@	@	@
Gr	and Total	-	-	-	-

 $@\mathit{The\ relevant\ period\ has\ not\ been\ completed}\\$ 



Fortress Capital Management Services Pvt. Ltd. CIN: U67120MH2004PTC145815

Corporate office: 204, Lotus Park, 2nd Floor, Road No 16, Wagle Estate, Thane (W) 400 604, India. Tel: +91 (22) 6288 7900



#### 9. Private Placement of Non-Convertible Securities

### Data for month ending September 2022 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	May,2022	Nil	Nil	Nil	Nil
2.	June,2022	Nil	Nil	Nil	Nil
3.	July, 2022	Nil	Nil	Nil	Nil
4.	August, 2022	Nil	Nil	Nil	Nil
5.	September,2022	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

 $<sup>{\</sup>it * Inclusive of complaints of previous months resolved in the current month.}$ 

#Inclusive of complaints pending as on the last day of the month.

## Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2019	Nil	Nil	Nil	Nil
2.	2020	Nil	Nil	Nil	Nil
3.	2021	Nil	Nil	Nil	Nil
4.	2022	@	@	@	@
5.	2023	@	@	@	@
Gra	and Total	-	-	-	-

@The relevant period has not been completed



Fortress Capital Management Services Pvt. Ltd. CIN: U67120MH2004PTC145815

Corporate office: 204, Lotus Park, 2nd Floor, Road No 16, Wagle Estate, Thane (W) 400 604, India. Tel: +91 (22) 6288 7900



## 10. Public Issue of Non-Convertible Redeemable Preference Shares (NCRPS)

Data for month ending September 2022 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	May,2022	Nil	Nil	Nil	Nil
2.	June,2022	Nil	Nil	Nil	Nil
3.	July, 2022	Nil	Nil	Nil	Nil
4.	August, 2022	Nil	Nil	Nil	Nil
5.	September,2022	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

# Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
		previous year			
1.	2019	Nil	Nil	Nil	Nil
2.	2020	Nil	Nil	Nil	Nil
3.	2021	Nil	Nil	Nil	Nil
4.	2022	@	@	@	@
5.	2023	@	@	@	@
Gr	and Total	-	-	-	-

<sup>@</sup>The relevant period has not been completed



Fortress Capital Management Services Pvt. Ltd. CIN: U67120MH2004PTC145815

Corporate office: 204, Lotus Park, 2nd Floor, Road No 16, Wagle Estate, Thane (W) 400 604, India. Tel: +91 (22) 6288 7900



#### 11. Public Issue of Debt Securities

## Data for month ending September 2022 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	May,2022	Nil	Nil	Nil	Nil
2.	June,2022	Nil	Nil	Nil	Nil
3.	July, 2022	Nil	Nil	Nil	Nil
4.	August, 2022	Nil	Nil	Nil	Nil
5.	September,2022	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

 $<sup>{\</sup>it * Inclusive of complaints of previous months resolved in the current month.}$ 

## Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2019	Nil	Nil	Nil	Nil
2.	2020	Nil	Nil	Nil	Nil
3.	2021	Nil	Nil	Nil	Nil
4.	2022	@	@	@	@
5.	2023	@	@	@	@
Gr	and Total	-	-	-	-

 $<sup>@\</sup>mathit{The\ relevant\ period\ has\ not\ been\ completed}\\$ 



Fortress Capital Management Services Pvt. Ltd. CIN: U67120MH2004PTC145815

Corporate office: 204, Lotus Park, 2nd Floor, Road No 16, Wagle Estate, Thane (W) 400 604, India. Tel: +91 (22) 6288 7900

<sup>#</sup>Inclusive of complaints pending as on the last day of the month.



# B. Consolidated Investor Complaint Data

## Data for month ending September 2022 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	May,2022	Nil	Nil	Nil	Nil
2.	June,2022	Nil	Nil	Nil	Nil
3.	July, 2022	Nil	Nil	Nil	Nil
4.	August, 2022	Nil	Nil	Nil	Nil
5.	September,2022	Nil	Nil	Nil	Nil
Grand Total		Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

# $Trend\ of\ annual\ (Calendar\ year)\ disposal\ of\ complaints\ (For\ 5\ years\ on\ rolling\ basis):$

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2019	Nil	Nil	Nil	Nil
2.	2020	Nil	Nil	Nil	Nil
3.	2021	Nil	Nil	Nil	Nil
4.	2022	@	@	@	@
5. *	2023	@	@	@	@
Gra	nd Total	-	-	-	-

 $@\mathit{The\ relevant\ period\ has\ not\ been\ completed}\\$ 



Fortress Capital Management Services Pvt. Ltd. CIN: U67120MH2004PTC145815

Corporate office: 204, Lotus Park, 2nd Floor, Road No 16, Wagle Estate, Thane (W) 400 604, India. Tel: +91 (22) 6288 7900